



2821

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	HUI et al.	Examiner:	David Vu
Serial No.:	10/056226	Group Art Unit:	2821
Filed:	January 23, 2002	Docket:	12364.0028USU1
Confirmation No.:	6884	Notice of Allow. Date:	N/A
Due Date:	N/A		
Title:	Novel Circuit Designs and Control Techniques for High Frequency Electronic Ballasts for High Intensity Discharge Lamps		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mailstop Amendment, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 April 26, 2005.

By: 

Name: Natalie Berland

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

23552

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 11 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612.332.5300

By: 

Name: Brian H. Batzli

Reg. No.: 32,960

BHB/ncb



S/N 10/056,226

PATENT

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

The reference WO 96/33596 is the equivalent of the Japanese reference 11-503866. An English abstract is provided for the German reference 96/33596.

The US reference 5,434,763 is the English equivalent of the Japanese reference 7-94291.

The WIPO reference 99/40757 is the English equivalent of the Japanese reference 2002-503023.

English abstracts have been provided for all other Japanese references.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

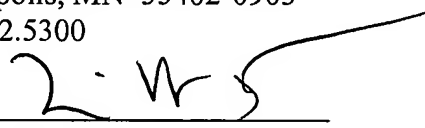
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612)332.5300

Dated: April 26, 2005

By: 
Brian H. Batzli
Reg. No.: 32,960

BHB/ncb



FORM 1449-P INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 12364.0028USU1	Application Number: 10/056226
	Applicant: HUI et al.	
	Filing Date: January 23, 2002	Group Art Unit: 2821

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,434,763	07-1995	Hege et al.				

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	11-503866	03-1999	Japan			See IDS	
	96/33596	10-1996	WIPO			Abstract	
	7-94291	04-1995	Japan			See IDS	
	2000-134941	05-2000	Japan			Abstract	
	4-322169	11-1992	Japan			Abstract	
	2000-277278	10-2000	Japan			Abstract	
	7-169584	07-1995	Japan			Abstract	
	11-283769	10-1999	Japan			Abstract	
	2002-503023	01-2002	Japan			See IDS	
	99/40757	08-1999	WIPO				
	11-262256	09-1999	Japan			Abstract	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	